

**JUN 18 2008**

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**ALSTON & BIRD LLP**

3201 Beechleaf Court, Suite 600  
 Raleigh, NC 27604-1062  
 919-862-2200  
 Fax: 919-862-2260

**TELECOPY****PLEASE DELIVER AS SOON AS POSSIBLE**

<b>Date:</b> June 18, 2008	<b>Our Ref.</b> <b>035784/309974</b>
<b>Recipient:</b> Attn: <b>Sheryl McCloud</b> OIPE – Filing Receipts	<b>Company:</b> U.S. Patent and Trademark Office
<b>Fax Number:</b> (571) 273-8300	<b>Voice Number:</b>
<b>Sender:</b> Diann Gravius on Behalf of <b>LESLIE T. HENRY</b>	<b>Sender's Tel/Fax/E-mail:</b> Tel: (919) 862-2231 – Fax (919) 862-2260 E-Mail: diann.gravius@alston.com

**Message:**

**Re: Status of Request for Corrected Filing Receipt Submitted via  
 EPAS on December 5, 2007  
 Application No. 10/577,390 Filed on May 29, 2007  
 Atty Docket No.: 035784/309974**

Dear Ms. McCloud,

We last spoke regarding the above-referenced matter on March 28, 2008. We still have not received a corrected filing receipt for this matter. Could you kindly check the status of the Request for a Corrected Filing Receipt submitted on December 5, 2007 via EPAS Web. I have enclosed a copy of the request as filed. Please forward the corrected filing receipt to us your earliest convenience.

Thank you for your assistance in this matter.

Diann Gravius  
 Tel: (919) 862-2231  
 Fax: (919) 862-2260  
 E-Mail: [diann.gravius@alston.com](mailto:diann.gravius@alston.com)

Number of Pages: (including cover page) **8****IF NOT RECEIVED PROPERLY, PLEASE NOTIFY US IMMEDIATELY AT 862-2221.**

<b>USER CODE:</b> GRAVD	<b>REQUESTED BY:</b> Diann Gravius
<b>CLIENT/MATTER:</b> 035784/309974	<b>OPERATOR:</b>

Alston &amp; Bird

Patent Electronic Filing

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**JUN 18 2008****Acknowledgement Receipt**The USPTO has received your submission at **16:18:32** Eastern Time on **05-DEC-2007**.

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

**eFiled Application Information**

EFS ID	2550959
Application Number	10577390
Confirmation Number	2074
Title	Antagonist Anti-CD40 Monoclonal Antibodies and Methods for Their Use
First Named Inventor	Li Long
Customer Number or Correspondence Address	826
Filed By	Leslie Henry/Diann Gravius
Attorney Docket Number	035784/309974
Filing Date	29-MAY-2007
Receipt Date	05-DEC-2007
Application Type	U.S. National Stage under 35 USC 371

**COPY****Application Details**

Submitted Files	Page Count	Document Description	File Size	Warnings
309974-2ndRequest- CorrectedFilingReceipt.PDF	5	Request for Corrected Filing Receipt	232169 bytes	 PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this

## Patent Electronic Filing

Page 2 of 2

Acknowledgement: Receipt will establish the international filing date of the application.

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- *Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail [EBC@uspto.gov](mailto:EBC@uspto.gov) for specific questions about Patent e-Filing.*
- *Send general questions about USPTO programs to the USPTO Contact Center (UCC).*
- *If you experience technical difficulties or problems with this application, please report them via e mail to Electronic Business Support or call 1 800-786-9199.*

**RECEIVED  
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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Long *et al.* Confirmation No.: 2074  
Appl. No.: 10/577,390 Group Art Unit: 1644  
Filed: May 29, 2007  
I.A. Filed: November 4, 2004  
For: ANTAGONIST ANTI-CD40 MONOCLONAL  
ANTIBODIES AND METHODS FOR THEIR USE

**COPY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

In reviewing the Filing Receipt for the above-referenced application, Applicants note that errors still appear as noted on the attached copy of the Filing Receipt. Specifically,

In the section entitled "Domestic Priority Data As Claimed by Applicant," the second line should read as -- **which claims the benefit of 60/517,337 11/04/2003 -- not** "which claims the benefit of 60/617,337 10/08/2004."

In this same section, the third line, "This application 10/577,390," should be deleted.

In this same section, the fourth line "claims benefit of 60/525,579 11/26/2003" should read as -- **and claims benefit of 60/525,579 11/26/2003 --**.

Thus, the entirety of the domestic priority data should read:

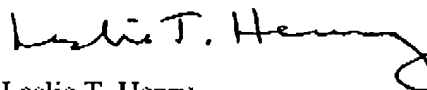
This application is a 371 of PCT/US04/37152 11/04/2004  
which claims the benefit of 60/517,337 11/04/2003  
and claims the benefit of 60/525,579 11/26/2003  
and claims the benefit of 60/565,710 04/27/2004

LEGAL02/30624100v1

In re: Long *et al.*  
Appl. No.: 10/577,390  
Filed: May 29, 2007  
I.A. Filed: November 4, 2004

Applicants request that a Corrected Filing Receipt be issued.

Respectfully submitted,



Leslie T. Henry  
Registration No. 45,714

Customer No. 00826  
**ALSTON & BIRD LLP**  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Raleigh Office (919) 862-2200  
Fax Raleigh Office (919) 862-2260

*ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC  
FILING SYSTEM OF THE UNITED STATES PATENT AND  
TRADEMARK OFFICE ON DECEMBER 5, 2007.*

LEGAL02/30624101v1

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United States Patent and Trademark Office  
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Alexandria, Virginia 22313-1470  
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLAIMS	IND CL.	MS
10/577,390	05/29/2007	1644	4030	035784/309974	54	7	

CONFIRMATION NO. 2174

CORRECTED FILING RECEIPT



826  
ALSTON & BIRD LLP  
BANK OF AMERICA PLAZA  
101 SOUTH TRYON STREET, SUITE 4000  
CHARLOTTE, NC 28280-4000

Date Mailed: 11/29/2007  
RECEIVED BY: [Signature]

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections.

**Applicant(s)**

Li Long, Hercules, CA;  
Mohammad Luqman, Danville, CA;  
Asha Yabannavar, Lafayette, CA;  
Isabel Zaror, El Cerrito, CA;  
Bao-Lu Chen, San Ramon, CA;  
Xiaofeng Lu, Albany, CA;  
Sang Hoon Lee, Palo Alto, CA;  
Deborah Hurst, Piedmont, CA;

**Assignment For Published Patent Application**

Chiron Corporation, Emeryville, CA

**Power of Attorney:** The patent practitioners associated with Customer Number 00826

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/US04/37152 11/04/2004

which claims benefit of ~~60/617,337 10/08/2004~~ 60/517,337

~~This application 10/577,390~~

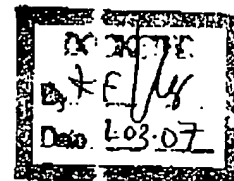
and claims benefit of 60/525,579 11/26/2003

and claims benefit of 60/565,710 04/27/2004

**Foreign Applications**

If Required, Foreign Filing License Granted: 09/22/2007

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The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/577,390**

Projected Publication Date: 01/03/2008

Non-Publication Request: No

Early Publication Request: No  
Title

An agonist Anti-CD40 Monoclonal Antibodies and Methods for Their Use

Preliminary Class

424

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**JUN 18 2008**

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**NOT GRANTED**

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